



0491

**Fourth Semester 2 Year LL.M. (Business and Trade Law)**  
**Examination, September 2021 (June 2021)**  
**Spl. Paper – 7 : CORPORATE FINANCE AND LAW**

Duration : 3 Hours

Max. Marks : 80

**Instructions : 1. Answer any five of the following.**

**2. Answer should be written in English only.**

- Q. No. 1. Critically evaluate the constitutional perspective of Corporate finance with regard to borrowing powers of Union and State, by giving necessary credit to relevant entries in Union and State list. Marks : 1×16=16
- Q. No. 2. What is a 'prospectus' ? Describe in detail the disclosure to be made in prospectus. Marks : 1×16=16
- Q. No. 3. Examine the role of public financial institutions in corporate fund raising. Marks : 1×16=16
- Q. No. 4. Explain the utility of Indian Depository Receipts (IDR) and Global Depository Receipts (GDR) in corporate fund raising. Marks : 1×16=16
- Q. No. 5. Elucidate the meaning and concept of 'Derivatives'. Marks : 1×16=16
- Q. No. 6. Critically examine the impact of insolvency and Bankruptcy code on corporate finance. Marks : 1×16=16
- Q. No. 7. Who is a 'Shareholder' ? Explain the individual shareholder's rights and corporate membership right and state the difference between the two. Marks : 1×16=16

**P.T.O.**

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Q. No. 8. Analyse the provisions of company law regarding mergers and acquisitions. Marks : 1×16=16

Q. No. 9. Write note on **any two** of the following : Marks : 2×8=16

- (a) Red Herring prospectus
- (b) Creation of charges
- (c) Zero-based Budgeting
- (d) Derivative Actions.

P.T.O.





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P.T.O.



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**IV Semester LL.M. (Business and Trade) Examination, June/July 2015**  
**Specialization (Paper – 7)**  
**CORPORATE FINANCE**

Duration : 3 Hours

Max. Marks : 80

**Instructions :** 1. Answer **all** questions.

2. Figures to the **right** indicate marks.

Q. No. I. (A) i) Discuss the financing principles followed by body corporations in investment.

Marks : 10

OR

ii) State the tools of corporate finance.

(B) Write notes on :

Marks : 6

i) Importance of selecting 'right' objective.

OR

ii) Importance of working capital.

Q. No. II. (A) i) Why corporations prefer to finance investments with internal funds than external financing.

Marks : 10

OR

ii) Discuss the disclosure to be made in prospectus.

(B) Write explanatory notes on :

Marks : 6

i) Debt finance

ii) Secured debentures.

Q. No. III. (A) i) Discuss the procedure for dematerialisation.

Marks : 10

OR

ii) What is the need and importance of consolidation and reorganisation of shares.

(B) Write brief note on :

Marks : 6

i) Transmission of securities.

OR

ii) Derivative actions.

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Q. No. IV. (A) i) The growing internationalisation of capital markets suggest an increasing number of companies perceive the benefit of listing their securities abroad in the form of depository receipts. Comment.

Marks : 10

OR

ii) Discuss the different kinds of depositories.

(B) Write notes on :

Marks : 6

i) World Bank as an investor.

OR

ii) NRI investment.

Q. No. V. (A) i) Explain the modes adopted by SEBI to impose curbs on 'off market' deals.

Marks : 10

OR

ii) Discuss the role of SEBI and RBI in regulating the corporate finance.

(B) Explain the following :

Marks : 6

i) Objectives of SEBI.

OR

ii) Inspection of accounts of companies.



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**Fourth Semester L.L.M. (B & T) Degree Examination, June/July 2014**  
**Specialization Paper – 7 : CORPORATE FINANCE**

Duration : 3 Hours

Max. Marks : 80

- Instructions :** 1. Answer all questions.  
2. Figures to the **right** indicate marks.

Q. No. 1. (a) Explain in detail creditorship securities. Marks : 10

OR

What do you mean by corporate finance ? State the objectives of corporate finance.

(b) Write short notes on : Marks : 6

Importance of corporate finance.

OR

The concept of over capitalization and under capitalization.

Q. No. 2. (a) State the various kinds of share capital of a company. Marks : 10

OR

Explain the procedure for issue of debentures.

(b) Short notes on : Marks : 6

Prospectus of a company.

OR

Rights of preference share holders.

Q. No. 3. (a) Explain the conditions for Conversion, Consolidation and Reorganisation of shares of companies. Marks : 10

OR

Write procedure for Dematerialization and Rematerialization of securities.

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(b) Write short notes on :

Marks : 6

Provisions relating to Intercompany Loans.

OR

Transmission of shares.

Q. No. 4. (a) State the role of FDI and NRI investment in mobilization of corporate finance.

Marks : 10

OR

Write an explanatory note on Industrial Financial Corporation of India.

(b) Write short notes on :

Marks : 6

World Bank

OR

Open ended and close ended scheme.

Q. No. 5. (a) Explain the provisions of Companies Act relating to powers of Central Govt in respect of special Audit.

Marks : 10

OR

How does SEBI Act ensure protection of Investors ?

(b) Write short notes on :

Marks : 6

Duties of Auditor

OR

The composition of SEBI Board.





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**Fourth Semester LL.M. Examination, June 2013**  
**Course I : CORPORATE FINANCE (Specialization Paper – VII)**  
**(Business and Trade Law Branch)**

Duration : 3 Hours

Max. Marks : 80

- Instructions :** 1. Answer **all Five Questions**.  
2. Answer **one** essay type and one short note from **each** unit.  
3. Figures to the **right** indicate marks.

Q. No. 1. (a) (i) What is corporate finance ? Explain its role in economic development of a country. Marks : 10

OR

(ii) Explain chief characteristic of legal regulation of borrowings by companies in India.

(b) (i) Explain the distinction between shares and debentures. Marks : 6

OR

(ii) Write a note on working capital.

Q. No. 2. (a) (i) What is share capital ? Explain briefly various methods of raising share capital. Marks : 10

OR

(ii) Examine the effect of non-disclosure of certain information in the prospectus on creation of charges.

(b) (i) Write explanatory note on Debt financing. Marks : 6

OR

(ii) Explain the law relating to creation changes.

Q. No. 3. (a) (i) Examine the law relating to regulation of corporate membership in India. Marks : 10

OR

(ii) Examine the rules governing dematerialisation of securities.

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(b) Write explanatory notes on :

Marks : 6

(i) Derivative actions.

OR

(ii) Transmission of shares.

Q. No. 4. (a) (i) Explain the utility of IDR and GDR in corporate fund raising.

Marks : 10

OR

(ii) Examine the role of public financial institutions in corporate fund raising.

(b) (i) Explain the importance of F.D.I.

Marks : 6

OR

(ii) Write a note on NRI investments.

Q. No. 5. (a) (i) Discuss the regulatory framework underlying the SEBI Act.

Marks : 10

OR

(ii) Discuss the circumstances leading to the passing of the SEBI Act.

(b) (i) Write a note on powers of RBI over SEBI.

Marks : 6

OR

(ii) Explain briefly RBI's control over companies.





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**Fourth Semester LL.M. Examination, June 2011**  
**CORPORATE FINANCE (Business and Trade Law Branch)**  
**Specialization Paper – VII (Course – I)**

Duration : 3 Hours

Max. Marks : 80

- Instructions :** 1. Answer **all** questions.  
2. Figures to the **right** indicate **marks**.

Q. No. 1.(a) What do you mean by corporate finance ? Explain the scope and importance of corporate finance. Marks : 10

OR

Define capitalization and distinguish between over capitalization and under capitalization.

(b) Write short notes on : Marks : 6  
Capital requirements of a new company.

OR

Securities.

Q. No. 2.(a) Discuss the various types of preference shares to be issued by a company. Marks : 10

OR

What is prospectus ? Explain contents and requirements of prospectus.

(b) Write short notes on : Marks : 6  
Rights of equity shareholders.

OR

SEBI guidelines for issue of convertible debentures.

Q. No. 3.(a) Critically evaluate the provisions of Companies Act on Intercorporate Loans and Investments. Marks : 10

OR

Explain various rights of shareholders.

b) Write short notes on : Marks : 6  
Investor Education and Protection Fund.

OR

Dematerialization of securities.

P.T.O.





Q. No. 4. (a) Write explanatory note on Industrial Development Bank of India. Marks : 10

OR

What do you mean by Mutual Fund ? Explain the various kinds of mutual fund schemes.

(b) Write short notes on :

Marks : 6

Global Depository Receipt.

OR

State Financial Corporations.

Q. No. 5. (a) Explain the provisions of SEBI Act 1992 relating to regulation of corporate finance.

Marks : 10

OR

State the role of RBI in regulating the corporate finance in India.

(b) Write short notes on :

Marks : 6

State the basic objectives of SEBI.

OR

How SEBI imposes curbs on off market deals ?